PROMOTION RECOMMENDATION UNIVERSITY OF MICHIGAN MEDICAL SCHOOL DEPARTMENT OF PSYCHIATRY

Scott Y. H. Kim, M.D., Ph.D., associate professor of psychiatry, with tenure, Department of Psychiatry, Medical School, is recommended for promotion to professor of psychiatry, with tenure, Department of Psychiatry, Medical School.

Academic Degrees:

M.D.	1994	Harvard University
Ph.D.	1993	University of Chicago
B.A.	1985	Wheaton College, Wheaton, Illinois

Professional Record:

2007-present	Associate Professor of Psychiatry, University of Michigan	
2004-present	Assistant Professor of Psychiatry, University of Michigan	
2001-2004	Assistant Professor of Psychiatry, University of Rochester	
2001-2004	Assistant Professor of Medical Humanities, University of	
	Rochester	

Summary of Evaluation:

Teaching: Dr. Kim supervises cases by residents, students, and social workers. Until June of 2010, he was assistant director of the Research Track residency program, a program funded through an NIMH R25 grant. He provides periodic bioethics lectures to undergraduates, medical students, residents and fellows, in continuing medical education forums, both regional and national. In addition, he teaches elective seminars to second-year medical students. He has mentored eight post-doctoral level trainees (seven since his last promotion); four are on faculty at major universities, two are junior faculty at the University of Michigan (one with a recently awarded K-23 award), and two post-doctoral fellows. As the co-director of the Center for Bioethics and Social Sciences in Medicine (CBSSM), he has made medical ethics education in the Medical School a priority and appointed Raymond De Vries, Ph.D. (professor of medical education and core faculty in the Center) to lead the Center's effort to enhance ethics education. They have been meeting with medical school curriculum leaders to design and implement a coordinated medical ethics curriculum.

Research: Dr. Kim has been successful in sustaining a high level of research funding. He has had three NIH R01 awards (two since his last promotion), one foundation-based award, and effort as co-investigator on various projects. He recently submitted a new R01 proposal and a sub-proposal that will be part of a large UM1 cooperative agreement on ethics of whole genome sequencing in personalized oncology, for which a Cancer Center pilot grant was used to gather preliminary data. Dr. Kim has presented at leading national and international organizations including the American Psychiatric Association, the American Society for bioethics and

Humanities, and the American Society for Clinical Oncology, the Canadian Institutes of Health Research. Dr. Kim received the Greenwall Faculty Scholarship in Bioethics Program in 2006, a highly competitive national faculty development award. In 2012 he received the Academy of Psychosomatic Medicine's 2012 Research Award. The nominating letter from two leaders in the field stated: "Dr. Kim has made major contributions in both conceptual and empirical bioethics of immediate relevance to our specialty. He is clearly among the leading bioethical thinkers and active investigators in the world." Dr. Kim is the author of 64 peer-reviewed articles with 40 since his last promotion (14 first or solo-authored and 10 as senior author). He has published in many top-tiered journals, including Nature, New England Journal of Medicine, Archives of General Psychiatry, Annals of Internal Medicine, Neurology, Science Translational Medicine, Lancet Neurology, and Nature Reviews Neurology.

Recent and Significant Publications:

Kim SYH, Ubel PA, De Vries RD: Pruning the regulatory tree. Nature 457:534-535, 2009.

Silveira M, Kim SYH, Langa KM: Advance directives and outcomes of surrogate decision making before death. *N Engl J Med* 362:1211-1218, 2010.

Kim SYH, Karlawish J, Kim HM, Wall IF, Bozoki A, Appelbaum PS: Preservation of the capacity to appoint a proxy decision maker: Implications for dementia research. *Archives of General Psychiatry* 68:214-220, 2011.

Kim SYH, Kim HM, Knopman DS, De Vries R, Damscrhoder L, Appelbaum PS: Effect of public deliberation on attitudes toward surrogate consent for dementia research. *Neurology* 77:2097-2104, 2011.

Kim SYH, De Vries R, Wilson RM, Parnami S, Frank S, Kieburtz K, Holloway RG: 'Irrational' subject expectations: Common or commonly mismeasured? *IRB: Ethics & Human Research* (Accepted).

Service: Dr. Kim has been a reviewer for the NIH Board of Scientific Counselor's Review of the NIH Clinical Center Department of Bioethics. He has served on Data Safety and Monitoring Boards. He has served as vice chair of the Task Force to Update the Annotations of the Code of Ethics of the American Psychiatric Association. At the American Society of Bioethic and Humanities, the leading bioethics organization, he served as Research Ethics Subcommittee Chair. He has also served on advisory committees and panels at the Food and Drug Administration and Agency for Healthcare Research and Quality (AHRQ).

External Reviewers:

<u>Reviewer A</u>: "His work is imaginative, rigorous and important to policy – a combination which is rare indeed to find."

Reviewer B: "Scott Kim is one of the nation's leading researchers on the ethics of research on degenerative diseases of the brain, such as Alzheimer Disease and Parkinson Disease. His work is distinguished for its rigorous analysis of ethical problems in cutting-edge research."

Reviewer C: "Without question he is one of the leading bioethicists of his generation...Dr. Kim has made excellent and path-breaking contributions to bioethics scholarship, especially in the form of well-conceived and designed empirical research relating particularly to decision making capacity, surrogate decision making, informed consent to clinical trials, and ethical issues relating to sham surgery trials."

Reviewer D: "...Dr. Kim has an impressive research portfolio and has significantly advanced the field of bioethics with high impact work that crosses the boundaries of psychiatry, neurology, cancer, genetics and the law....Dr. Kim is an exemplary investigator, clinician, and teacher who graciously strives to address critical issues in medicine with true compassion and evidence-driven expertise. He has developed a stellar reputation at national and international levels."

Reviewer E: "I believe that his research related to decision-making for research participation is second to none in the entire field....My own very high estimate of Dr. Kim's stature within bioethics is shared by many of my colleagues. He is a sought-after collaborator, mentor, reviewer of grants, and speaker at national and international conferences....Dr. Kim is clearly considered a thought leader within both psychiatry and bioethics and deservedly so."

Reviewer F: "Dr. Kim has distinguished himself as a scholar of the highest caliber in several areas within bioethics....Dr. Kim's nuanced work on informed consent for research has been truly inspiring."

Summary of Recommendation:

Dr. Kim is an outstanding example of an academician who has successfully balanced the competing demands of research productivity, educational involvement, clinical and public service. He has had sustained funding during times of NIH constraint and has received noteworthy awards. He is the co-director of the Center for Bioethics and Social Sciences in Medicine. He is an international expert on clinical, ethical, and legal aspects of assessment of decision-making capacity, on the ethics of surrogate consent for research, and on informed consent for high risk research studies. He is an exceptional asset to the Health System and Medical School. I enthusiastically recommend Scott Y. H. Kim, M.D., Ph.D. for promotion to professor of psychiatry, with tenure, Department of Psychiatry, Medical School.

James O. Woolliscroft, M.D.

Dean

Lyle C. Roll Professor of Medicine